UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. CEDAR-45257

Total Pages in this Subm

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

| METHODS OF USING PITUITARY TUMOR TRANSFORMING GENE (PTTG) CARBOXY-TERMINAL PEPTIDES TO INHIBIT NEOPLASTIC CELLULAR PROLIFERATION AND/OR TRANSFORMATION OF BREAST AND OVARIAN CELLS | | | | | | | | | |
|--|------|---|--|---|--|--|--|--|---|
| nd invented by: | | | | | | | | | |
| | | | Anthony | P. Heaney, G | rego | y A. Horwitz | Xun Zhang and | Shlomo Melmed | |
| CO | NT | INU | ATION A | PPLICATION | , che | ck appropriat | e box and supply | the requisite information: | |
| | | | | | | | | | 09/687,911 |
| ich | is a | : | | | | | | _ | |
| C | onti | nua | ion 🗆 | Divisional | × | Continuati | on-in-part (CIP) | of prior application No.: | 09/569,956 |
| | | | | | | | | | |
| C | onti | nua | ion 🗆 | Divisional | × | Continuation | on-in-part (CIP) | of prior application No.: | 08/894,251 |
| clos | ed a | are: | | | | | | | on PCT/US97/21463 |
| | | | | | | Applicat | ion Elements | | |
| 1. | | Filir | ig fee as | calculated an | ıd tra | nsmitted as c | escribed below | | • |
| 2. 🗷 Specification having 95 pages and including the following: | | | | | | | | | |
| | a. | × | Descrip | tive Title of th | e Inv | rention | | | |
| | b. | × | Cross References to Related Applications (if applicable) | | | | | | |
| | c. | × | | | | | | | |
| | d. | | Referer | ice to Microfic | he A | ppendix (if ap | plicable) | | |
| | e. | X | Backgro | ound of the Inv | venti | on | | | |
| | f. | × | Brief Su | ımmary of the | Inve | ention | | | |
| | g. | X | Brief Description of the Drawings (if drawings filed) | | | | | | |
| | h. | X | Detailed | Description | | | | | |
| | i. | × | Claim(s |) as Classified | Bel | ow | | | |
| | j. | X | Abstrac | t of the Disclo | sure | | | | |
| | inv | PE invent. CONT Continich is a Continich in Continich in Continich is a Continich in Continich | CONTINUA Continuation is a: Cont | PEPTIDES TO I invented by: Anthony CONTINUATION A Continuation ich is a: Continuation ich is a: Continuation ich is a: Continuation Continuation | Anthony P. Heaney, G CONTINUATION APPLICATION Continuation Divisional ich is a: Continuation Divi | Anthony P. Heaney, Gregorinvented by: Anthony P. Heaney, Gregorinvented by: CONTINUATION APPLICATION, che Continuation | PEPTIDES TO INHIBIT NEOPLASTIC CELLU OF BREAST ANI invented by: Anthony P. Heaney, Gregory A. Horwitz. CONTINUATION APPLICATION, check appropriat Continuation | PEPTIDES TO INHIBIT NEOPLASTIC CELLULAR PROLIFER OF BREAST AND OVARIAN CEI invented by: Anthony P. Heaney, Gregory A. Horwitz, Xun Zhang and S CONTINUATION APPLICATION, check appropriate box and supply Continuation | PEPTIDES TO INHIBIT NEOPLASTIC CELLULAR PROLIFERATION AND/OR TRANSF OF BREAST AND OVARIAN CELLS Invented by: Anthony P. Heaney, Gregory A. Horwitz, Xun Zhang and Shlomo Melmed CONTINUATION APPLICATION, check appropriate box and supply the requisite information: Continuation |

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(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. CEDAR-45257

Total Pages in this Submission 124

Application Elements (Continued)

| 30 | × | Drawing(s) (when necessary as prescribed by 35 USC 113) | | | | |
|-----|----|--|--|--|--|--|
| | a. | ☐ Formal b. 🗷 Informal Number of Sheets17 | | | | |
| 4. | | Oath or Declaration | | | | |
| | a. | □ Newly executed (original or copy) □ Unexecuted | | | | |
| | b. | ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only) | | | | |
| | C. | ☐ With Power of Attorney ☐ Without Power of Attorney | | | | |
| | d. | □ <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). | | | | |
| 5. | | Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | | | | |
| 6. | | Computer Program in Microfiche | | | | |
| 7. | X | Genetic Sequence Submission (if applicable, all must be included) | | | | |
| | a. | ▼ Paper Copy | | | | |
| | b. | ■ Computer Readable Copy | | | | |
| | C. | Statement Verifying Identical Paper and Computer Readable Copy | | | | |
| | | Accompanying Application Parts | | | | |
| 8. | | Assignment Papers (cover sheet & documents) | | | | |
| 9. | | 37 CFR 3.73(b) Statement (when there is an assignee) | | | | |
| 10. | | English Translation Document (if applicable) | | | | |
| 11. | | Information Disclosure Statement/PTO-1449 | | | | |
| 12. | | Preliminary Amendment | | | | |
| 13. | × | Acknowledgment postcard | | | | |
| 14. | × | Certificate of Mailing | | | | |
| | | ☐ First Class 🗷 Express Mail (Specify Label No.): EL 773912055 US | | | | |

DG780459,12040C

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Docket No. CEDAR-45257

Total Pages in this Submission 124

Accompanying Application Parts (Continued)

| 15. | Ц | Certified Copy of Priority Document(s) (if foreign priority is claimed) |
|-----|---|---|
| 16. | | Small Entity Statement(s) - Specify Number of Statements Submitted: |
| 17. | | Additional Enclosures (please identify below): |
| | | Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2) |
| 18. | | Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application. |

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(f), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

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Total Pages in this Submission 124

Fee Calculation and Transmittal

CLAIMS AS FILED

| For | #Filed | #Allowed | #Extra | | Rate | | Fee |
|-----------------------------|-----------------|----------------|--------|---|---------|------------|----------|
| Total Claims | 49 | - 20 = | 29 | × | \$9.00 | | \$261.00 |
| Indep. Claims | 4 | - 3 = | 1 | × | \$40.00 | | \$40.00 |
| Multiple Dependen | t Claims (check | if applicable) | | | | | \$0.00 |
| | | | | | | BASIC FEE | \$355.00 |
| OTHER FEE (specify purpose) | | | | | \$0.00 | | |
| | | | | | TOTAL | FILING FEE | \$656.00 |

| 3 | A check in the amount of | to cover the filing fee is enclosed. |
|---|--------------------------|--------------------------------------|
| | | |

- ☐ The Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.
 - Charge the amount of as filing fee.
 - Credit any overpayment.
 - ☐ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
 - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance.

pursuant to 37 C.F.R. 1.311(b).

Dated: December 4, 2000

CC:

DOYDOLL BOYDEADO

Nisan A. Steinberg, Ph.D. Registration No. 40,345 SIDLEY & AUSTIN 555 West Fifth Street

Los Angeles, CA 90013-1010 Ofc: 213/896-6665

Fax: 213/896-6600

Page 4 of 4